

Executive Branch Personnel

Public Financial Disclosure Report (OGE Form 278e)

Filer's Information

Mango, Paul

Chief of Staff & Chief Principal Deputy Administrator, CMS, Department of Health & Human Services

Report Year: 2019

Other Federal Government Positions Held During the Preceding 12 Months:

None

Electronic Signature - I certify that the statements I have made in this form are true, complete and correct to the best of my knowledge.

/s/ Mango, Paul [electronically signed on 04/08/2019 by Mango, Paul in Integrity.gov]

Agency Ethics Official's Opinion - On the basis of information contained in this report, I conclude that the filer is in compliance with applicable laws and regulations (subject to any comments below).

/s/ Olesh, Stanley, Certifying Official [electronically signed on 04/26/2019 by Olesh, Stanley in Integrity.gov]

Other review conducted by

/s/ Gonzalez, Jennifer, Ethics Official [electronically signed on 04/19/2019 by Gonzalez, Jennifer in Integrity.gov]

U.S. Office of Government Ethics Certification

Data Revised 04/25/2019

Data Revised 04/19/2019

Data Revised 04/17/2019

Comments of Reviewing Officials (public annotations):

PART	#	REFERENCE	COMMENT
N/A	N/A	General	(04/19/2019, Gonzalez, Jennifer): Initial Review - 4/18/2019 JLG

1. Filer's Positions Held Outside United States Government

None

2. Filer's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	McKinsey & Company	N/A		Salary/Bonus (payment rec'd 2018 prior to HHS start date)	\$7,056
2	Institute for Transfusion Medicine defined benefit pension plan (value difficult to ascertain)	N/A			None (or less than \$201)
3	McKinsey retirement plan (MIO Partners Inc.)	No			
3.1	MIO Special Situations- SSEL (Enhanced Liquidity) USD Fund	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.2	Compass Special Situations (Retirement)	Yes	\$1,000,001 - \$5,000,000		\$100,001 - \$1,000,000
3.3	USL Variable Annuity Sep Acct 107 (premiums invested in MIO Special Situations Investment Fund LP)	See Endnote	Yes	\$5,000,001 - \$25,000,000	\$100,001 - \$1,000,000

3. Filer's Employment Agreements and Arrangements

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
1	McKinsey & Company	New York, New York	I will no longer contribute to this defined contribution plan.	7/1996

#	EMPLOYER OR PARTY	CITY, STATE	STATUS AND TERMS	DATE
2	McKinsey & Company	New York, New York	Retiree Health Benefits	2/2017
3	Institute for Transfusion Medicine	Pittsburgh, Pennsylvania	Defined benefit Retirement plan.-- will receive roughly \$700/month after retiring (depending upon age at retirement)	6/1996

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

(N/A) - Not required for this type of report

5. Spouse's Employment Assets & Income and Retirement Accounts

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Carnegie-Mellon University	N/A		salary	
2	L-3 Communications, defined benefit plan (value not readily ascertainable)	N/A			None (or less than \$201)
3	The Rucker Group (sole-proprietorship consulting company)	N/A		consulting fees	

6. Other Assets and Income

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
1	Vanguard Total Stock Market ETF	Yes	\$1,000,001 - \$5,000,000		\$50,001 - \$100,000
2	Vanguard FTSE Developed Market ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
3	iShares MSCI Pacific ex-Japan ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
4	iShares MSCI Emerging Markets ETF	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
5	SPDR Dow Jones REIT ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
6	SPDR Energy Select Sector ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
7	PowerShares DB Commodity Index Tracking Fund ETF	Yes	\$1,001 - \$15,000		None (or less than \$201)
8	United States 12-month Oil Fund (USL)	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
9	Vanguard Short-Term Tax-Exempt Fund-Investor	Yes	\$500,001 - \$1,000,000		\$5,001 - \$15,000
10	Pennsylvania Municipal Money Market Fund	Yes	\$1,000,001 - \$5,000,000		\$15,001 - \$50,000
11	SPDR Euro Stoxx 50 ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
12	brokerage acct, (containing municipal bonds)	No		Interest	\$50,001 - \$100,000
12.1	Aurora Colorado Water Util. bond 5% 8/1/27	N/A	\$100,001 - \$250,000		
12.2	California Gen Obl/UTX bond 4.4% 11/1/21	N/A	\$15,001 - \$50,000		
12.3	Cumberland County Gen. Obl/UTX bond 3.15% 5/1/23	N/A	\$15,001 - \$50,000		
12.4	Denver Colorado City Gen. Obl/UTX bond 4% 12/1/20	N/A	\$50,001 - \$100,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.5	Northwest Washington Energy Elec. Util bond 5% 7/1/26	N/A	\$100,001 - \$250,000		
12.6	Indiana Fin Auth Hwy Trans bond 5%6/1/27	N/A	\$100,001 - \$250,000		
12.7	Katy Texas Gen Obl/UTX bond 5% 2/15/20	N/A	\$15,001 - \$50,000		
12.8	Lincoln Nebraska Elec Util bond 5% 9/1/29	N/A	\$100,001 - \$250,000		
12.9	Los Angeles Calif IMPT School bond 5% 7/1/19	N/A	\$15,001 - \$50,000		
12.10	Massachusetts State Fincl Auth bond 5.25% 8/1/21	N/A	\$100,001 - \$250,000		
12.11	Massachusetts State Fire Grant bond 5% 6/15/22	N/A	\$250,001 - \$500,000		
12.12	Massachusetts State Higher Educ. bond 5% 7/1/23	N/A	\$100,001 - \$250,000		
12.13	Massachusetts State Excise Tax bond 5% 8/15/23	N/A	\$15,001 - \$50,000		
12.14	Massachusetts Water bond 5% 8/1/23	N/A	\$100,001 - \$250,000		
12.15	New York State Thruway Toll Transp. bond 5% 5/1/19	N/A	\$100,001 - \$250,000		
12.16	Ohio State Turnpike Co Transp. bond 5% 2/15/28	N/A	\$100,001 - \$250,000		
12.17	Salt River Project Elec. Util. bond 5% 1/1/25	N/A	\$100,001 - \$250,000		
12.18	Spring Branch Texas Genl Obl/UTX bond 5% 2/1/30	N/A	\$100,001 - \$250,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
12.19	Texas Municipal Power Util. bond 5% 9/1/19	N/A	\$100,001 - \$250,000		
12.20	Texas State Genl Obl/UTX bond 5% 10/1/20	N/A	\$100,001 - \$250,000		
12.21	Triborough Bridge Toll Transp. bond 5% 11/15/24	N/A	\$100,001 - \$250,000		
12.22	Utah Transit Auth Excise Tax bond 5% 6/15/25	N/A	\$100,001 - \$250,000		
12.23	Washington State Genl Obl/UTX bond 5% 2/1/28	N/A	\$100,001 - \$250,000		
13	American Growth Fund of America Class R5	Yes	\$500,001 - \$1,000,000		\$15,001 - \$50,000
14	Schwab variable annuities	See Endnote	No		\$5,001 - \$15,000
14.1	Schwab S&P 500 Index Portfolio	See Endnote	Yes	\$100,001 - \$250,000	
14.2	Schwab Market Track Growth II	See Endnote	Yes	\$100,001 - \$250,000	
15	Compass Special Situations (non-retirement)	Yes	\$5,000,001 - \$25,000,000		\$100,001 - \$1,000,000
16	NY Saves (529 education fund)	No			\$15,001 - \$50,000
16.1	Conservative Growth Portfolio	Yes	\$100,001 - \$250,000		
16.2	Aggressive Growth Portfolio	Yes	\$1,001 - \$15,000		
16.3	Growth Stock Index Portfolio	Yes	\$50,001 - \$100,000		
16.4	Value Stock Index Portfolio	Yes	\$15,001 - \$50,000		

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
16.5	Growth Portfolio	Yes	\$15,001 - \$50,000		
16.6	Income Portfolio	Yes	\$15,001 - \$50,000		
17	Cash in US banks checking accounts	N/A	\$500,001 - \$1,000,000	Interest	\$2,501 - \$5,000
18	Real Estate- 7 acre vacant lot. Pine Township, Allegheny County, PA	N/A	\$250,001 - \$500,000		None (or less than \$201)
19	Managed Account 1	No			
19.1	New York State Dormito 5% 27 Univ Edu Due 7/1/27 OID Xtro Pre-refunded 7/1/21 at 100.00000 CUSIP 649906CS1	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
19.2	Council Rock Pennsy 5%23 GO LTX Due 8/15/23 CUSIP 222263ZP3	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.3	Westmoreland County 5% 28 Comb Util Due 8/15/28 Pre-refunded 8/15/23 at 100.00000 CUSIP 961017PJ3	N/A	\$15,001 - \$50,000	Interest	\$2,501 - \$5,000
19.4	New Jersey St TURNP 5% 25 TPK Tran due 1/1/25 CUSIP 6461395Q4	N/A	\$15,001 - \$50,000	Interest	\$201 - \$1,000
19.5	Hawaii St 5% 25 GO UTX Due 8/1/25 Callable 8/1/24 at 100.00000 CUSIP 4197916V5	N/A	\$1,001 - \$15,000		None (or less than \$201)
19.6	Pennsbury Pennsylv 5% 25 GO LTX Due 8/1/25 Callable 8/1/22 at 100.00000 CUSIP 708553PU0	N/A	\$15,001 - \$50,000	Interest	\$1,001 - \$2,500
19.7	Southeastern Pennsy 5% 26 Mass Tran Due 3/1/26 CUSIP 842039CR8	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.8	Hillsborough County 5% 28 SCH COPS Due 7/1/28 Callable 7/1/27 at 100.00000 CUSIP 43232VUYC3	N/A	\$15,001 - \$50,000		None (or less than \$201)

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
19.9	Pennsylvania St Tur 5% 29 TPK TRAN Due 12/1/29 Callable 12/1/27 at 100.00000 CUSIP 709224D30	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.10	Arizona Board REGEN 5% 30 Pub Educ Due 6/1/30 Callable 6/1/26 at 100.00000 CUSIP 040484NQ6	N/A	\$15,001 - \$50,000		None (or less than \$201)
19.11	Tallahassee Florida 5% 31 COMB UTIL Due 10/1/31 Callable 10/1/24 at 100.00000 CUSIP 874461JM9	N/A	\$1,001 - \$15,000		None (or less than \$201)
20	Managed Acct 2	No			
20.1	Schwab US Large CAP ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
20.2	Schwab US Small CAP ETF	Yes	\$100,001 - \$250,000		\$5,001 - \$15,000
20.3	Schwab International Equity ETF	Yes	\$250,001 - \$500,000		\$5,001 - \$15,000
20.4	Schwab Emerging Markets Equity ETF	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
20.5	Schwab US REIT ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
20.6	SPDR Energy Select Sector ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
20.7	Fidelity MSCI Information Technology ETF	See Endnote	\$100,001 - \$250,000		\$2,501 - \$5,000
20.8	Fidelity MSCI Financials ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000
20.9	Fidelity MSCI Industrials ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.10	Robo Global Robotics and Automation Index ETF	Yes	\$100,001 - \$250,000		\$1,001 - \$2,500

#	DESCRIPTION	EIF	VALUE	INCOME TYPE	INCOME AMOUNT
20.11	Fidelity MSCI Consumer Discretionary Index ETF	Yes	\$50,001 - \$100,000		\$201 - \$1,000
20.12	FlexShares iBoxx 5-year Target Duration TIPS ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
20.13	Vanguard Mortgage-Backed Securities ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
20.14	Schwab Intermediate Term US Treasury ETF	Yes	\$50,001 - \$100,000		\$1,001 - \$2,500
20.15	Powershares Senior Loan ETF	Yes	\$15,001 - \$50,000		\$1,001 - \$2,500
20.16	PowerShares DB Optimum Yield Diversified Commodity Strategy Portfolio	Yes	\$100,001 - \$250,000		\$2,501 - \$5,000
20.17	Schwab International Small Cap Equity ETF	Yes	\$50,001 - \$100,000		\$2,501 - \$5,000

7. Transactions

#	DESCRIPTION		TYPE	DATE	AMOUNT
1	Fidelity MSCI Health Care fund	See Endnote	Sale	09/06/2018	\$50,001 - \$100,000
2	Geisinger Health Bonds	See Endnote	Sale	09/10/2018	\$15,001 - \$50,000
3	Chester County PA Hospital Bond	See Endnote	Sale	09/10/2018	\$15,001 - \$50,000
4	Massachusetts State Hospital Bond	See Endnote	Sale	09/10/2018	\$15,001 - \$50,000
5	Oregon Health Sciences bond	See Endnote	Sale	09/14/2018	\$100,001 - \$250,000

8. Liabilities

None

9. Gifts and Travel Reimbursements

None

Endnotes

PART	#	ENDNOTE
2.	3.3	Per fund manager letter -- variable annuity premiums are fully invested in the Special Situations Fund LP, which is covered by the fund manager's policy of non-disclosure of underlying holdings even to investors; thus, underlying holdings of variable annuity non-conflicting & unreportable -- SO
6.	14	3 variable annuities with identical allocations, Valuation categories in following itemization reflects total in all 3 annuities.
6.	14.1	50% of total in annuities
6.	14.2	50% of total in annuities
6.	20.7	Nonconflicting (sufficiently diversified) under OGE guidance (DO-00-030)
7.	1	Information provided by filer. (on 278-T filed 9/17/18)
7.	2	Information provided by filer (on 278-T filed 9/17/18)
7.	3	Information provided by filer (on 278-T filed 9/17/18)
7.	4	Information provided by filer (on 278-T filed 9/17/18)
7.	5	Information provided by filer (on 278-T filed 9/17/18)

Summary of Contents

1. Filer's Positions Held Outside United States Government

Part 1 discloses positions that the filer held at any time during the reporting period (excluding positions with the United States Government). Positions are reportable even if the filer did not receive compensation.

This section does not include the following: (1) positions with religious, social, fraternal, or political organizations; (2) positions solely of an honorary nature; (3) positions held as part of the filer's official duties with the United States Government; (4) mere membership in an organization; and (5) passive investment interests as a limited partner or non-managing member of a limited liability company.

2. Filer's Employment Assets & Income and Retirement Accounts

Part 2 discloses the following:

- Sources of earned and other non-investment income of the filer totaling more than \$200 during the reporting period (e.g., salary, fees, partnership share, honoraria, scholarships, and prizes)
- Assets related to the filer's business, employment, or other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

3. Filer's Employment Agreements and Arrangements

Part 3 discloses agreements or arrangements that the filer had during the reporting period with an employer or former employer (except the United States Government), such as the following:

- Future employment
- Leave of absence
- Continuing payments from an employer, including severance and payments not yet received for previous work (excluding ordinary salary from a current employer)
- Continuing participation in an employee welfare, retirement, or other benefit plan, such as pensions or a deferred compensation plan
- Retention or disposition of employer-awarded equity, sharing in profits or carried interests (e.g., vested and unvested stock options, restricted stock, future share of a company's profits, etc.)

4. Filer's Sources of Compensation Exceeding \$5,000 in a Year

Part 4 discloses sources (except the United States Government) that paid more than \$5,000 in a calendar year for the filer's services during any year of the reporting period.

The filer discloses payments both from employers and from any clients to whom the filer personally provided services. The filer discloses a source even if the source made its payment to the filer's employer and not to the filer. The filer does not disclose a client's payment to the filer's employer if the filer did not provide the services for which the client is paying.

5. Spouse's Employment Assets & Income and Retirement Accounts

Part 5 discloses the following:

- Sources of earned income (excluding honoraria) for the filer's spouse totaling more than \$1,000 during the reporting period (e.g., salary, consulting fees, and partnership share)
- Sources of honoraria for the filer's spouse greater than \$200 during the reporting period
- Assets related to the filer's spouse's employment, business activities, other income-generating activities (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in income was received during the reporting period (e.g., equity in business or partnership, stock options, retirement plans/accounts and their underlying holdings as appropriate, deferred compensation, and intellectual property, such as book deals and patents)

This section does not include assets or income from United States Government employment or assets that were acquired separately from the filer's spouse's business, employment, or other income-generating activities (e.g., assets purchased through a brokerage account). Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF). Amounts of income are not required for a spouse's earned income (excluding honoraria).

6. Other Assets and Income

Part 6 discloses each asset, not already reported, (1) that ended the reporting period with a value greater than \$1,000 or (2) from which more than \$200 in investment income was received during the reporting period. For purposes of the value and income thresholds, the filer aggregates the filer's interests with those of the filer's spouse and dependent children.

This section does not include the following types of assets: (1) a personal residence (unless it was rented out during the reporting period); (2) income or retirement benefits associated with United States Government employment (e.g., Thrift Savings Plan); and (3) cash accounts (e.g., checking, savings, money market accounts) at a single financial institution with a value of \$5,000 or less (unless more than \$200 in income was received). Additional exceptions apply. Note: The type of income is not required if the amount of income is \$0 - \$200 or if the asset qualifies as an excepted investment fund (EIF).

7. Transactions

Part 7 discloses purchases, sales, or exchanges of real property or securities in excess of \$1,000 made on behalf of the filer, the filer's spouse or dependent child during reporting period.

This section does not include transactions that concern the following: (1) a personal residence, unless rented out; (2) cash accounts (e.g., checking, savings, CDs, money market accounts) and money market mutual funds; (3) Treasury bills, bonds, and notes; and (4) holdings within a federal Thrift Savings Plan account. Additional exceptions apply.

8. Liabilities

Part 8 discloses liabilities over \$10,000 that the filer, the filer's spouse or dependent child owed at any time during the reporting period.

This section does not include the following types of liabilities: (1) mortgages on a personal residence, unless rented out (limitations apply for PAS filers); (2) loans secured by a personal motor vehicle, household furniture, or appliances, unless the loan exceeds the item's purchase price; and (3) revolving charge accounts, such as credit card balances, if the outstanding liability did not exceed \$10,000 at the end of the reporting period. Additional exceptions apply.

9. Gifts and Travel Reimbursements

This section discloses:

- Gifts totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.
- Travel reimbursements totaling more than \$390 that the filer, the filer's spouse, and dependent children received from any one source during the reporting period.

For purposes of this section, the filer need not aggregate any gift or travel reimbursement with a value of \$156 or less. Regardless of the value, this section does not include the following items: (1) anything received from relatives; (2) anything received from the United States Government or from the District of Columbia, state, or local governments; (3) bequests and other forms of inheritance; (4) gifts and travel reimbursements given to the filer's agency in connection with the filer's official travel; (5) gifts of hospitality (food, lodging, entertainment) at the donor's residence or personal premises; and (6) anything received by the filer's spouse or dependent children totally independent of their relationship to the filer. Additional exceptions apply.

Privacy Act Statement

Title I of the Ethics in Government Act of 1978, as amended (the Act), 5 U.S.C. app. § 101 et seq., as amended by the Stop Trading on Congressional Knowledge Act of 2012 (Pub. L. 112-105) (STOCK Act), and 5 C.F.R. Part 2634 of the U. S. Office of Government Ethics regulations require the reporting of this information. The primary use of the information on this report is for review by Government officials to determine compliance with applicable Federal laws and regulations. This report may also be disclosed upon request to any requesting person in accordance with sections 105 and 402(b)(1) of the Act or as otherwise authorized by law. You may inspect applications for public access of your own form upon request. Additional disclosures of the information on this report may be made: (1) to any requesting person, subject to the limitation contained in section 208(d)(1) of title 18, any determination granting an exemption pursuant to sections 208(b)(1) and 208(b)(3) of title 18; (2) to a Federal, State, or local law enforcement agency if the disclosing agency becomes aware of violations or potential violations of law or regulation; (3) to another Federal agency, court or party in a court or Federal administrative proceeding when the Government is a party or in order to comply with a judge-issued subpoena; (4) to a source when necessary to obtain information relevant to a conflict of interest investigation or determination; (5) to the National Archives and Records Administration or the General Services Administration in records management inspections; (6) to the Office of Management and Budget during legislative coordination on private relief legislation; (7) to the Department of Justice or in certain legal proceedings when the disclosing agency, an employee of the disclosing agency, or the United States is a party to litigation or has an interest in the litigation and the use of such records is deemed relevant and necessary to the litigation; (8) to reviewing officials in a new office, department or agency when an employee transfers or is detailed from one covered position to another; (9) to a Member of Congress or a congressional office in response to an inquiry made on behalf of an individual who is the subject of the record; (10) to contractors and other non-Government employees working on a contract, service or assignment for the Federal Government when necessary to accomplish a function related to an OGE Government-wide system of records; and (11) on the OGE Website and to any person, department or agency, any written ethics agreement filed with OGE by an individual nominated by the President to a position requiring Senate confirmation. See also the OGE/GOVT-1 executive branch-wide Privacy Act system of records.

Public Burden Information

This collection of information is estimated to take an average of ten hours per response, including time for reviewing the instructions, gathering the data needed, and completing the form. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Program Counsel, U.S. Office of Government Ethics (OGE), Suite 500, 1201 New York Avenue, NW., Washington, DC 20005-3917.

Pursuant to the Paperwork Reduction Act, as amended, an agency may not conduct or sponsor, and no person is required to respond to, a collection of information unless it displays a currently valid OMB control number (that number, 3209-0001, is displayed here and at the top of the first page of this OGE Form 278e).
